

## INFLUENCE OF THE STATE-FEEDBACK ON CYCLICITY OF LINEAR SYSTEMS

**Abstract.** It is shown that every second order nonzero minor of the polynomial matrix  $P_A \left( [I_n s - A]^{-1} = \frac{P_A}{d}, A \in R^{n \times n}, n \geq 2 \right)$  is divisible (with zero remainder) by the polynomial  $d$  if and only if the characteristic polynomial  $\varphi(s) = \det[I_n s - A]$  is equal to the minimal polynomial  $\Psi(s)$  of  $A$ . If the transfer matrix of  $m$ -inputs and  $p$ -outputs  $\min(m, p) \geq 2$  linear system is written in the standard form  $T = \frac{P}{d}$  ( $d$  is the minimal common denominator), then every second order nonzero minor of  $P$  is divisible by  $d$  if and only if  $q = d$ , where  $q$  is the McMillan polynomial of  $T$ . If the pair  $(A, b)$  of single-input system is controllable then the closed-loop matrix  $A_c = A + bk$  ( $k$  is a gain matrix) is cyclic if and only if the matrix  $A$  is also cyclic. If the pair  $(A, B)$  of  $m$ -input system is controllable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic. If the pair  $(A, B)$  is uncontrollable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic if and only if the submatrix  $A_3$  of (50) of the uncontrollable part of the system is cyclic.

**Streszczenie.** W pracy wykazano, że każdy niezerowy minor stopnia drugiego macierzy  $P_A \left( [I_n s - A]^{-1} = \frac{P_A}{d}, A \in R^{n \times n}, n \geq 2 \right)$  jest podzielny bez reszty przez wielomian  $d$  wtedy i tylko wtedy, gdy wielomian charakterystyczny  $\varphi(s) = \det[I_n s - A]$  jest równy wielomianowi minimalnemu  $\Psi(s)$  macierzy  $A$ . Jeżeli macierz transmitancji układu o  $m$ -wejściach i  $p$ -wyjściach  $\min(m, p) \geq 2$  jest w postaci  $T = \frac{P}{d}$  ( $d$  jest najmniejszym wspólnym mianownikiem), to każdy niezerowy minor stopnia drugiego macierzy  $P$  jest podzielny bez reszty przez  $d$  wtedy i tylko wtedy, gdy  $q = d$ , przy czym  $q$  jest wielomianem McMillana macierzy  $T$ . Jeżeli para  $(A, b)$  układu o jednym wejściu jest sterowalna, to macierz układu zamkniętego  $A_c = A + bk$  ( $k$  jest wektorem wzmocnień) jest cykliczna wtedy i tylko wtedy, gdy macierz  $A$  jest również cykliczna. Jeżeli para  $(A, B)$  układu o  $m$ -wejściach jest sterowalna i macierz  $A$  nie jest cykliczna, to istnieje macierz sprzężeń zwrotnych  $K$  taka, że macierz  $A_c = A + BK$  jest cykliczna. Jeżeli para  $(A, B)$  jest niesterowalna i macierz  $A$  jest niecykliczna, to istnieje macierz sprzężeń zwrotnych  $K$  taka, że macierz  $A_c = A + BK$  jest

cykliczna wtedy i tylko wtedy, gdy podmacierz  $A_3$  (w (50)) części niesterowalnej układu jest cykliczna.

## 1. INTRODUCTION

In the monograph [5] Rosenwasser and Lampe have introduced the notion of the simple matrix (einfache Matrix, which is equivalent to the cyclic matrix [4]) and the notion of the normal matrix (normale Matrix). They have shown that if the normal transfer matrix is written

in the standard form  $T = \frac{P}{d}$  ( $d$  is the minimal common denominator), then every second

order nonzero minor of  $P$  is divisible by  $d$  with zero remainder. Some implications of this approach to electrical circuits have been discussed in [2].

In this paper it will be shown that every second order nonzero minor of the polynomial matrix

$P_A \left( [I_n s - A]^{-1} = \frac{P_A}{d}, A \in R^{n \times n}, n \geq 2 \right)$  is divisible (with zero remainder) by the polynomial  $d$

if and only if the characteristic polynomial  $\varphi(s) = \det[I_n s - A]$  is equal to the minimal polynomial  $\Psi(s)$  of  $A$ . If the transfer matrix of  $m$ -inputs and  $p$ -outputs ( $\min(m, p) \geq 2$ )

linear system is written in the standard form  $T = \frac{P}{d}$  ( $d$  is the minimal common

denominator), then every second order nonzero minor of  $P$  is divisible by  $d$  if and only if

$q = d$ , where  $q$  is the McMillan polynomial of  $T$ . If the pair  $(A, b)$  of single input system is

controllable then the closed-loop matrix  $A_c = A + bk$  ( $k$  is a gain matrix) is cyclic if and only

if the matrix  $A$  is also cyclic. If the pair  $(A, B)$  of  $m$ -input system is controllable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic. If the pair  $(A, B)$  is uncontrollable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic if and only if the submatrix  $A_3$  of (50) of the uncontrollable part of the system is cyclic.

## 2. PRELIMINARIES

Let  $R^{m \times n}$  be the set of  $m \times n$  real matrices and  $R^n := R^{n \times 1}$ .

Consider the linear continuous-time system

$$\dot{x} = Ax + Bu \quad (1a)$$

$$y = Cx + Du \quad (1b)$$

where  $x = x(t) \in R^n$  is the state vector,  $u = u(t) \in R^m$  and  $y = y(t) \in R^p$  are the input and output vectors, respectively and  $A \in R^{n \times n}$ ,  $B \in R^{n \times m}$ ,  $C \in R^{p \times n}$ ,  $D \in R^{p \times m}$ .

The transfer matrix of the system (1) is given by

$$T(s) = C[I_n s - A]^{-1} B + D \quad (2)$$

which can be written in the standard form

$$T(s) = \frac{P(s)}{d(s)} \quad (3)$$

where  $P \in R^{p \times m}[s]$ ,  $R^{p \times m}[s]$  is the set of  $p \times m$  polynomial matrices and  $d(s)$  is the minimal common denominator of all entries of  $T(s)$ .

In what follows the following elementary row or column operations will be used [1,3]:

1. Multiplication of any row (column) by any nonzero number (scalar).
2. Addition of any row (column) multiplied by a polynomial to another row (column).
3. Interchange of any rows (columns).

Using elementary row and column operations we may transform any polynomial matrix  $P \in R^{p \times m}[s]$  to its Smith canonical form [3,4]

$$P_S(s) = \text{diag}[i_1(s), i_2(s), \dots, i_r(s), 0, \dots, 0] \in R^{p \times m}[s] \quad (4)$$

where  $i_1(s), \dots, i_r(s)$  are monic invariant polynomials satisfying the divisibility condition  $i_{k+1}(s) | i_k(s)$ , i.e.  $i_{k+1}(s)$  is divisible with zero remainder by  $i_k(s)$ ,  $k = 1, \dots, r-1$  and  $r = \text{rank } P(s)$ .

The invariant polynomials can be determined by the relation

$$i_k(s) = \frac{D_k(s)}{D_{k-1}(s)} \quad (D_0(s) = 1), \quad k = 1, \dots, r \quad (5)$$

where  $D_k(s)$  is the greatest common divisor of all the  $k \times k$  minors of  $P(s)$ .

The characteristic polynomial  $\varphi(s) = \det[I_n - A]$  of the matrix  $A \in R^{n \times n}$  and its minimal polynomial  $\Psi(s)$  are related by [1]

$$\Psi(s) = \frac{\varphi(s)}{D_{n-1}(s)} \quad (6)$$

From (4)-(6) it follows that  $\Psi(s) = \varphi(s)$  if and only if

$$D_1(s) = D_2(s) = \dots = D_{n-1}(s) = 1 \quad (7)$$

A matrix  $A \in R^{n \times n}$  satisfying (7) (or equivalently  $\Psi(s) = \varphi(s)$ ) is called cyclic (or normal [5]).

### 3. DIVISIBILITY OF SECOND ORDER MINORS OF CYCLIC MATRICES

For any  $A \in R^{n \times n}$  the inverse matrix  $[Is - A]^{-1}$  can be written in the form

$$[Is - A]^{-1} = \frac{P_A}{d} \quad (8)$$

where  $P_A = P_A(s) \in R^{n \times n}[s]$  and  $d = d(s)$  is the minimal common denominator.

**Theorem 1.** Let  $A \in R^{n \times n}$  and  $n \geq 2$ . Then every second order nonzero minor of  $P_A$  is divisible (with zero remainder) by  $d$  if and only if the characteristic polynomial  $\varphi(s) = \det[Is - A]$  is equal to the minimal polynomial of  $A$ , i.e.  $\varphi(s) = \Psi(s)$ .

**Proof.** It is well known that any square matrix is similar to its Jordan canonical form, that is, there exists a non-singular matrix  $T \in R^{n \times n}$  such that

$$J_A = TAT^{-1} = \text{diag}[J_{m_1}(s_1), \dots, J_{m_{k_1}}(s_1), J_{m_2}(s_2), \dots, J_{m_{k_2}}(s_2), \dots, J_{m_{k_q}}(s_q)] \quad (9a)$$

where

$$J_m(s) = \begin{bmatrix} s & 1 & 0 & \dots & 0 & 0 \\ 0 & s & 1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & s & 1 \\ 0 & 0 & 0 & \dots & 0 & s \end{bmatrix} \in R^{m \times m}[s] \quad (9b)$$

$s_1, s_2, \dots, s_q$  are the distinct eigenvalues of  $A$  and the multiplicity of  $s_j$  is  $m_{j1} + m_{j2} + \dots + m_{jk_j} = m_j, j = 1, \dots, q$ .

From (9a) it follows that

$$\det[I_n s - J_A] = \det[I_n s - A] = \varphi(s) \quad (10)$$

If (7) holds then

$$J_A = \text{diag}[J_{m_1}(s_1), J_{m_2}(s_2), \dots, J_{m_q}(s_q)] \quad (m_1 + m_2 + \dots + m_q = n) \quad (11)$$

and from (9a) we obtain

$$\begin{aligned} [I_n s - A]^{-1} &= [I_n s - T^{-1} J_A T]^{-1} = T^{-1} [I_n s - J_A]^{-1} T = \\ &= \text{diag} \left\{ [I_{m_1} s - J_{m_1}(s_1)]^{-1}, [I_{m_2} s - J_{m_2}(s_2)]^{-1}, \dots, [I_{m_q} s - J_{m_q}(s_q)]^{-1} \right\} = \\ &= \text{diag} \left\{ \frac{\text{adj}[I_{m_1} s - J_{m_1}(s_1)]}{d_1}, \frac{\text{adj}[I_{m_2} s - J_{m_2}(s_2)]}{d_2}, \dots, \frac{\text{adj}[I_{m_q} s - J_{m_q}(s_q)]}{d_q} \right\} \end{aligned} \quad (12)$$

where  $d_j = (s - s_j)^{m_j}, j = 1, \dots, q$  and  $d = d_1 d_2 \dots d_q$ .

From (12) it follows that it is enough to show that every second order nonzero minor of the adjoint matrix  $\text{adj}[I_{m_j} s - J_{m_j}(s_j)]$  is divisible by  $d_j$  for  $j = 1, \dots, q$ .

Taking into account that

$$\begin{aligned} \text{adj}[I_{m_j} s - J_{m_j}(s_j)] &= \text{adj} \begin{bmatrix} s - s_j & -1 & 0 & \dots & 0 & 0 \\ 0 & s - s_j & -1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & s - s_j & -1 \\ 0 & 0 & 0 & \dots & 0 & s - s_j \end{bmatrix} = \\ &= \begin{bmatrix} (s - s_j)^{m_j - 1} & (s - s_j)^{m_j - 2} & (s - s_j)^{m_j - 3} & \dots & s - s_j & 1 \\ 0 & (s - s_j)^{m_j - 1} & (s - s_j)^{m_j - 2} & \dots & (s - s_j)^2 & s - s_j \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & (s - s_j)^{m_j - 1} & (s - s_j)^{m_j - 2} \\ 0 & 0 & 0 & \dots & 0 & (s - s_j)^{m_j - 1} \end{bmatrix} \end{aligned} \quad (13)$$

it is easy to check that every nonzero second order minor of (13) is divisible by  $d_j = (s - s_j)^{m_j}, j = 1, \dots, q$ .

If  $\varphi(s) \neq \Psi(s)$  then for at least one eigenvalue, let say  $s_j$ , we have (for  $m_{j_1} > m_{j_2}$ )

$$J(s_j) = \text{diag}[J_{m_{j_1}}(s_j), J_{m_{j_2}}(s_j)]$$

and

$$\begin{aligned} [I_s - J(s_j)]^{-1} &= \text{diag}\{[I_{m_{j_1}}s - J_{m_{j_1}}(s_j)]^{-1}, [I_{m_{j_2}}s - J_{m_{j_2}}(s_j)]^{-1}\} = \\ &= \frac{1}{d_{m_{j_1}}} \text{diag}\{adj[I_{m_{j_1}}s - J_{m_{j_1}}(s_j)](s - s_j)^{m_{j_1}-m_{j_2}} adj[I_{m_{j_2}}s - J_{m_{j_2}}(s_j)]\} \end{aligned} \quad (14)$$

where  $d_{m_{j_1}} = (s - s_j)^{m_{j_1}}$  and the adjoint matrices  $adj[I_{m_{j_1}}s - J_{m_{j_1}}(s_j)]$ ,  $adj[I_{m_{j_2}}s - J_{m_{j_2}}(s_j)]$  are defined in the same way as (13).

It is easy to check that for example the second order minor  $\begin{vmatrix} 1 & 0 \\ (s - s_j)^{m_{j_2}} & (s - s_j)^{m_{j_2}-1} \end{vmatrix}$  of the matrix

$$\text{diag}\{adj[I_{m_{j_1}}s - J_{m_{j_1}}(s_j)], (s - s_j)^{m_{j_1}-m_{j_2}} adj[I_{m_{j_2}}s - J_{m_{j_2}}(s_j)]\}$$

is not divisible by  $d_{m_{j_1}}$ .  $\square$

**Remark 1.** Any nondiagonal matrix  $A = [a_{ij}] \in R^{n \times n}$  for  $n=2$  is cyclic since  $D_{n-1}(s)$  of  $[I_n s - A]$  is for  $a_{12} \neq 0$  or  $a_{21} \neq 0$  a nonzero scalar.

**Theorem 2.** A matrix  $A = [a_{ij}] \in R^{n \times n}$  is cyclic if

$$a_{ij} \begin{cases} = 0 & \text{for } j > i+1 \\ \neq 0 & \text{for } j = i+1 \end{cases} \quad i, j = 1, \dots, n \quad (15a)$$

or

$$a_{ij} \begin{cases} = 0 & \text{for } i > j+1 \\ \neq 0 & \text{for } i = j+1 \end{cases} \quad i, j = 1, \dots, n \quad (15b)$$

**Proof.** If (15a) holds then the minor  $M_{n1}$  obtained by deleting of the first column and the  $n$ -th row of the matrix  $[I_n s - A]$  is equal to  $M_{n1} = a_{12}a_{23} \dots a_{n-1,n} \neq 0$ . Hence  $D_{n-1}(s) = 1$ . In this case from (6) we obtain  $\varphi(s) = \Psi(s)$ . The proof for (15b) is similar (dual).  $\square$

In particular case from Theorem 2 it follows that the Frobenius matrix

$$A_F = \begin{bmatrix} 0 & \bar{1} & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 1 \\ a_0 & a_1 & a_2 & \dots & a_{n-1} \end{bmatrix} \quad \text{or} \quad A_F^T = \begin{bmatrix} 0 & 0 & \dots & 0 & a_0 \\ 1 & 0 & \dots & 0 & a_1 \\ 0 & 1 & \dots & 0 & a_2 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 1 & a_{n-1} \end{bmatrix} \quad (16)$$

is cyclic [1].

#### 4. DIVISIBILITY OF SECOND ORDER MINORS OF TRANSFER MATRICES

The transfer matrix (2) of (1) can be always written in the form (3). If the pair  $(A, B)$  is reachable (controllable) and the pair  $(A, C)$  is observable then

$$P(s) = C \operatorname{adj}[I_n s - A] B + D d \text{ and } d = \det[I_n s - A] \quad (17)$$

If  $m \geq p$  ( $p \geq m$ ) and  $\operatorname{rank} C = p$  ( $\operatorname{rank} B = m$ ) then  $r = \operatorname{rank} P(s) = p(m)$  and the Smith canonical form (4) of  $P(s)$  is equal to

$$P_S(s) = UP(s)V = \begin{bmatrix} i_1(s) & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & i_2(s) & \dots & 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & i_p(s) & 0 & \dots & 0 \end{bmatrix} \in R^{p \times m}[s] \quad (18)$$

where  $U = U(s) \in R^{p \times p}[s], V = V(s) \in R^{m \times m}[s]$  are unimodular matrices of elementary row and column operations, respectively.

From (18) and (3) we have the McMillan canonical form of  $T(s)$  [3,4]

$$T_M(s) = \frac{P_S(s)}{d(s)} = \frac{UP(s)V}{d(s)} = \begin{bmatrix} \frac{n_1}{q_1} & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & \frac{n_2}{q_2} & \dots & 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & \frac{n_p}{q_p} & 0 & \dots & 0 \end{bmatrix} \quad (19)$$

where  $\frac{i_k(s)}{d(s)} = \frac{n_k(s)}{q_k(s)}$  for  $k = 1, \dots, p$  ( $n_1 = i_1, q_1 = d$ ),  $n_k = n_k(s)$  and  $q_k(s)$  are factor coprime polynomials such that  $n_k \mid n_{k+1}$  and  $q_{k+1} \mid q_k, k = 1, \dots, p-1$ .

The polynomial

$$q(s) = q_1 q_2 \dots q_p \quad (20)$$

is called the McMillan polynomial of  $T(s)$ .

From (18) – (20) it follows that  $\deg q(s) \geq \deg d(s)$  and

$$q(s) = d(s) \text{ if and only if } q_k(s) = 1 \text{ for } k = 2, \dots, p \text{ (} q_1(s) = d(s) \text{)} \quad (21)$$

In the proof of the following theorem the Binet – Cauchy lemma will be used [1]:

**Lemma.** Let  $C = AB$ , where  $A \in R^{m \times n}, B \in R^{n \times p}$ . Then the minor of the  $q$  order ( $q \leq \min(m, p)$ ) of the matrix  $C$  is given by the formula

$$C_{j_1 j_2 \dots j_q}^{i_1 i_2 \dots i_q} = \sum_{1 \leq k_1 < \dots < k_q \leq n} A_{j_1 j_2 \dots j_q}^{i_1 i_2 \dots i_q} B_{j_1 j_2 \dots j_q}^{k_1 k_2 \dots k_q} \quad (22)$$

where  $A_{j_1 j_2 \dots j_q}^{i_1 i_2 \dots i_q}$  is the minor consisting of rows  $i_1, i_2, \dots, i_q$  and columns  $j_1, j_2, \dots, j_q$  of the matrix  $A$ . The minors  $B_{j_1 j_2 \dots j_q}^{k_1 k_2 \dots k_q}$  and  $C_{j_1 j_2 \dots j_q}^{i_1 i_2 \dots i_q}$  are defined in the same way.

**Theorem 3.** Let  $\min(m, p) \geq 2$  and let  $T(s)$  be given in the form (3). Then every second order nonzero minor of the polynomial matrix  $P(s)$  is divisible (with zero remainder) by  $d(s)$  if and only if  $q(s) = d(s)$ .

**Proof. Sufficiency.** If  $q(s) = d(s)$  then by (21)  $q_k(s) = 1$  for  $k = 2, \dots, p$  and (19) takes the form

$$T_M(s) = \frac{P_M(s)}{d(s)} \quad (23)$$

and

$$T(s) = U^{-1}(s)T_M(s)V^{-1}(s) = \frac{P(s)}{d(s)}$$

where

$$P_M(s) = \begin{bmatrix} i_1(s) & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & i_1(s)t_2(s)d(s) & \dots & 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & i_1(s)t_p(s)d(s) & 0 & \dots & 0 \end{bmatrix} \quad (24)$$

and

$$P(s) = U^{-1}(s)P_M(s)V^{-1}(s)$$

$U^{-1}(s)$  and  $V^{-1}(s)$  are unimodular matrices and some of the polynomials  $t_k(s)$ ,  $k = 2, \dots, p$  may be equal to 1.

It is easy to see that every second order nonzero minor of  $P_M(s)$  is divisible by  $d(s)$ . Applying Lemma to the matrix  $P(s) = U^{-1}(s)P_M(s)V^{-1}(s)$  we conclude that every nonzero second order minor of  $P(s)$  is divisible by  $d(s)$ .

**Necessity.** If every nonzero second order minor of  $P(s)$  is divisible by  $d(s)$  then by Lemma every second order nonzero minor of  $P_M(s)$  is also divisible by  $d(s)$  since  $U^{-1}(s)$  and  $V^{-1}(s)$  are unimodular matrices. This implies that the matrix  $P_M(s)$  has the form (24) and by (23) we obtain  $q_k(s) = 1$  for  $k = 2, \dots, p$ . In this case from (21) we have  $q(s) = d(s)$ .  $\square$

**Remark 2.** The Theorem 3 can be also proved by the use of Theorem 1 and Lemma.

**Example 1.** Consider the transfer matrix

$$T(s) = \begin{bmatrix} \frac{1}{s+1} & 0 \\ 0 & \frac{1}{s+2} \end{bmatrix} = \frac{1}{(s+1)(s+2)} \begin{bmatrix} s+2 & 0 \\ 0 & s+1 \end{bmatrix} \quad (25)$$

In this case

$$d(s) = (s+1)(s+2)$$

and

$$P(s) = \begin{bmatrix} s+2 & 0 \\ 0 & s+1 \end{bmatrix} \quad (26)$$

The canonical Smith form of (26) is equal to

$$P_S(s) = \begin{bmatrix} 1 & 0 \\ 0 & (s+1)(s+2) \end{bmatrix} \quad (27)$$

and the canonical McMillan form

$$T_M(s) = \begin{bmatrix} \frac{1}{(s+1)(s+2)} & 0 \\ 0 & 1 \end{bmatrix}$$

Hence

$$q(s) = (s+1)(s+2) = d(s)$$

It is easy to see that  $\det P(s) = d(s)$  is divisible by  $d(s)$ .

**Example 2.** Consider the transfer matrix

$$T(s) = \begin{bmatrix} \frac{s+2}{(s+1)^2} & 0 \\ 0 & \frac{1}{s+1} \end{bmatrix} = \frac{1}{(s+1)^2} \begin{bmatrix} s+2 & 0 \\ 0 & s+1 \end{bmatrix} \quad (28)$$

In this case  $d(s) = (s+1)^2$  and  $P(s)$ ,  $P_s(s)$  are given by (26) and (27), respectively. Thus the canonical McMillan form is equal to

$$T_M(s) = \begin{bmatrix} \frac{1}{(s+1)^2} & 0 \\ 0 & \frac{s+2}{s+1} \end{bmatrix}$$

Hence  $q(s) = (s+1)^3 \neq d(s)$ .

It is easy to see that  $\det P(s) = (s+1)(s+2)$  is not divisible by  $d(s) = (s+1)^2$ .

## 5. SYSTEMS WITH STATE-FEEDBACKS.

Let us consider the system (1) with the state-feedback

$$u = v - Kx \quad (29)$$

where  $v \in R^m$  is the new input vector and  $K \in R^{m \times n}$ . Substitution of (29) into (10) yields

$$\dot{x} = A_c x + Bv \quad (30)$$

where

$$A_c = A + BK \quad (31)$$

### 5.1. Single-input systems

Consider the single input ( $m=1$ ) system (1) with (29),  $B = b$  and  $K = k \in R^{1 \times n}$ .

**Theorem 4.** The pair  $(A, b)$  is controllable only if the characteristic polynomial  $\varphi(s) = \det[Is - A]$  is equal to the minimal polynomial  $\Psi(s)$  of  $A$ , i.e.  $\varphi(s) = \Psi(s)$ .

**Proof.** It is well-known [3,4] that the pair  $(A, b)$  is controllable if and only if

$$\text{rank}[b, Ab, \dots, A^{n-1}b] = n \quad (31)$$

If  $\Psi(s) \neq \varphi(s)$  then from (6) we have  $\deg \Psi(s) = n_1 < n$ .

Let  $\Psi(s) = s^{n_1} + a_{n_1-1}s^{n_1-1} + \dots + a_1s + a_0$ . Then  $A^{n_1} = -a_{n_1-1}A^{n_1-1} - \dots - a_1A - a_0I_n$  and all columns  $A^{n_1}b, \dots, A^{n-1}b$  in the matrix  $[b, Ab, \dots, A^{n-1}b]$  are linearly dependent on  $b, Ab, \dots, A^{n_1-1}b$ .

Therefore, the condition (31) can be satisfied only if  $\Psi(s) = \varphi(s)$ .  $\square$

It is also well-known [3,4] that the pair  $(A_c, b)$  is controllable if and only if the pair  $(A, b)$  is controllable.

**Theorem 5.** Let the pair  $(A, b)$  be controllable. Then the matrix  $A_c$  of the closed-loop system (30) is cyclic if and only if the matrix  $A$  of (1) is cyclic.



**Proof. Necessity.** If the pair  $(A, b)$  is controllable then the pair  $(A_c, b)$  is also controllable for any feedback gain matrix  $k$ . By Theorem 4 the controllability of the pair  $(A_c, b)$  implies that  $A_c$  is cyclic.

**Sufficiency.** If the pair  $(A, b)$  is controllable then there exists a non-singular matrix  $T$  such that [3,4]

$$\bar{A} = TAT^{-1} = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ 0 & 0 & 0 & \dots & 1 \\ -a_0 & -a_1 & -a_2 & \dots & -a_{n-1} \end{bmatrix}, \bar{b} = Tb = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix} \quad (32)$$

The matrix  $\bar{A}$  is cyclic with  $\Psi(s) = \det[Is - \bar{A}] = \det[Is - A]$ .

Using (32) we may write

$$A_c = A + bk = T^{-1}(\bar{A} + \bar{b}\bar{k})T \quad (33)$$

where

$$\bar{k} = kT^{-1} = [\bar{k}_1, \bar{k}_2, \dots, \bar{k}_n] \quad (34)$$

Hence the matrix

$$\bar{A}_c = \bar{A} + \bar{b}\bar{k} = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ 0 & 0 & 0 & \dots & 1 \\ \bar{k}_1 - a_0 & \bar{k}_2 - a_1 & \bar{k}_3 - a_2 & \dots & \bar{k}_n - a_{n-1} \end{bmatrix} \quad (35)$$

is cyclic. From (33) it follows that  $\det[Is - A_c] = \det[Is - \bar{A}_c]$  and  $A_c$  is also cyclic.  $\square$

Therefore, we have the following corollary

**Corollary 1.** If the pair  $(A, b)$  is controllable the cyclicity of the matrix  $A$  is invariant under the state-feedback.

If the pair  $(A, b)$  is not controllable and  $A$  is not cyclic then as shows the following example it is possible to choose the feedback gain matrix so  $A_c = A + bk$  is cyclic.

**Example 1.** The pair

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, b = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad (36)$$

is not controllable and  $A$  is not cyclic since  $\varphi(s) = \begin{vmatrix} s-1 & 0 \\ 0 & s-1 \end{vmatrix} = (s-1)^2$  and  $\Psi(s) = s-1$ . It

is easy to verify that for  $k = [0 \ 1]$  the closed-loop matrix  $A_c = A + bk = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$  is cyclic

$\Psi(s) = \varphi(s) = (s+1)(s+2)$ .

In general case when the single-input system (1) is not controllable there exists a non-singular matrix  $T$  such that [3,4]

$$\bar{A} = TAT^{-1} = \begin{bmatrix} A_1 & A_2 \\ 0 & A_3 \end{bmatrix}, \bar{b} = Tb = \begin{bmatrix} b_1 \\ 0 \end{bmatrix}, A_1 \in R^{r \times r}, b_1 \in R^r \\ A_3 \in R^{(n-r) \times (n-r)} \quad (37)$$

where the pair  $(A_1, b_1)$  is controllable and it has the canonical form (32) and  $r = \text{rank}[b, Ab, \dots, A^{n-1}b] < n$ .

**Theorem 6.** Let the pair  $(A, b)$  be uncontrollable and  $A$  be not cyclic. Then there exists a feedback gain matrix  $k$  such that  $A_c = A + bk$  is cyclic if and only if the matrix  $A_3$  is cyclic.

**Proof. Sufficiency.** If  $A_3$  is cyclic,  $A_1$  has the Frobenius form and  $A$  is not cyclic then the minimal polynomials  $\Psi_1(s)$  and  $\Psi_3(s)$  of  $A_1$  and  $A_3$  have at least one common factor. The pair  $(A_1, b_1)$  is controllable. Thus it is possible to choose  $k$  so that the matrix  $A_1 + b_1 k$  has a minimal polynomial which has no common factors with  $\Psi_3(s)$ . In this case the matrix  $\bar{A}(A)$  is cyclic.

**Necessity.** Follows immediately from the fact that  $\bar{A}(A)$  is cyclic only if  $A_3$  is cyclic.  $\square$

**Example 2.** Consider the single-input system (1) with

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ -4 & -8 & -5 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & -2 \end{bmatrix}, b = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \quad (38)$$

It is easy to check that the pair is not controllable and it has already the desired form (37) with

$$A_1 = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -4 & -8 & -5 \end{bmatrix}, A_2 = \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 0 & -1 \end{bmatrix}, A_3 = \begin{bmatrix} 0 & 1 \\ -1 & -2 \end{bmatrix}, b_1 = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad (39)$$

The matrices  $A_1$  and  $A_3$  are cyclic but their minimal polynomials  $\Psi_1(s) = \det[Is - A_1] = (s+1)(s+2)^2$ ,  $\Psi_3(s) = \det[Is - A_3] = (s+1)^2$  have common factor  $(s+1)$ . Therefore, the matrix  $A$  is not cyclic.

The conditions of Theorem 6 are satisfied and there exists a feedback gain matrix  $k = [k_1 \ k_2 \ k_3 \ k_4 \ k_5]$  such that  $A_c = A + bk$  is cyclic. The gain matrix  $k$  should be chosen so that the minimal polynomial of  $A_{c1} = A_1 + b_1 \bar{k}$ ,  $\bar{k} = [k_1 \ k_2 \ k_3]$  has no common factors with  $\Psi_3(s)$ . Let the desired minimal polynomial of  $A_{c1}$  be  $\Psi_{c1}(s) = (s+2)^3$ .

Then

$$A_{c1} = A_1 + b_1 \bar{k} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -4 & -8 & -5 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} [-4, -4, -1] = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -8 & -12 & -6 \end{bmatrix}$$

$$k = [\bar{k} \ k_4 \ k_5] = [-4, -4, -1, 0, 1]$$

and

$$A_c = A + bk = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ -8 & -12 & -6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & -2 \end{bmatrix} \quad (40)$$

It is easy to check that the matrix (40) is cyclic with the minimal polynomial  $\Psi_c(s) = (s+2)^3(s+1)^2$ .

#### 4.2 Multi-input systems

Consider the  $m$ -inputs system (1) with (29).

If the pair  $(A, b)$  is controllable then there exists a non-singular matrix  $T$  such that [3,4]

$$\bar{A} = TAT^{-1} = \begin{bmatrix} A_{11} & \dots & A_{1m} \\ \dots & \dots & \dots \\ A_{m1} & \dots & A_{mm} \end{bmatrix}, \bar{B} = TB = \begin{bmatrix} B_1 \\ \vdots \\ B_m \end{bmatrix}, A_{ij} \in R^{d_i \times d_j}, B_i \in R^{d_i \times m} \quad (41a)$$

where

$$A_{ij} = \begin{cases} \begin{bmatrix} 0 & \dots & I_{d_i-1} \\ \dots & \dots & \dots \\ -a_i & \dots & \dots \end{bmatrix} & \text{for } i = j \\ \begin{bmatrix} 0 \\ \dots \\ -a_{ij} \end{bmatrix} & \text{for } i \neq j \end{cases}, B_i = \begin{bmatrix} 0 \\ \dots \\ b_i \end{bmatrix}, a_{ij} = [a_0^y \ a_1^y \ \dots \ a_{d_i-1}^y] \\ b_i = [0 \ \dots \ 0 \ 1 \ b_{i+1} \ \dots \ b_m] \quad (41b)$$

and  $d_1, \dots, d_m$  are the controllability indexes satisfying  $\sum_{i=1}^m d_i = n$ .

**Theorem 7.** Let  $A$  be not cyclic. Then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic if the pair  $(A, B)$  is controllable.

**Proof.** If the pair  $(A, B)$  is controllable then the pair can be transformed to its canonical form (41)

Let

$$\hat{B} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}^{-1} = \begin{bmatrix} 1 & b_{12} & \dots & b_{1m} \\ 0 & 1 & \dots & b_{2m} \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 1 \end{bmatrix}^{-1} \quad (42)$$

then

$$\tilde{B} = \bar{B}\hat{B} = \text{diag}[\tilde{b}_1, \dots, \tilde{b}_m], \tilde{b}_i = [0 \ \dots \ 0 \ 1]^T \in R^{d_i} \quad (43)$$

Define

$$\bar{K} = \hat{B}^{-1}KT^{-1} = \begin{bmatrix} -a_{n_1} + e_{n_1+1} \\ \dots \\ -a_{n_{m-1}} + e_{n_{m-1}+1} \\ -a_{n_m} - d \end{bmatrix} \quad (44)$$

where  $n_i = \sum_{k=1}^i d_k$ ,  $a_{n_i}$  is the  $n_i$ -th row of  $\bar{A}$ ,  $e_i$  is the  $i$ -th row of  $I_n$  and

$$d = [d_0, d_1, \dots, d_{n-1}] \quad (45)$$

Using (42)-(45) it is easy to verify that

$$A_c = T(A+BK)T^{-1} = \bar{A} + \bar{B}KT^{-1} = \bar{A} + \bar{B}\hat{B}\hat{B}^{-1}KT^{-1} = \bar{A} + \bar{B}\bar{K} = \quad (46)$$

$$= \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 1 \\ -d_0 & -d_1 & -d_2 & \dots & -d_{n-1} \end{bmatrix}$$

The matrix (46) is cyclic.

The desired feedback gain matrix is given by the formula

$$K = \hat{B}\bar{K}T \quad (47)$$

which follows from (44).  $\square$

**Remark 3.** Note that for different (45) we obtain different matrices (46). Hence there exist many gain matrices  $K$  solving the problem.

**Example 3.** Consider the system (1) with

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ -1 & -2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & -4 & -8 & -5 \end{bmatrix}, B = \begin{bmatrix} 0 & 0 \\ 1 & 1 \\ 0 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix} \quad (48)$$

The pair (48) is controllable and has already the form (41) but the matrix  $A$  is not cyclic. In this case  $d_1 = 2, d_2 = 3, n_1 = d_1, n_2 = d_1 + d_2 = 5, T = I_5, \bar{A} = A$  and  $\bar{B} = B$ .

To find a feedback gain matrix  $K = [k_{ij}] \in R^{2 \times 5}$  such that  $A_c = A + BK$  is cyclic we compute using (42), (43), (44) and (47)

$$\hat{B} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix}, \tilde{B} = \bar{B}\hat{B} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\bar{K} = \begin{bmatrix} -a_{n_1} + e_3 \\ -a_{n_2} - d \end{bmatrix} = \begin{bmatrix} 1 & 2 & 1 & -1 & 0 \\ -d_0 & -d_1 & 4-d_2 & 8-d_3 & 5-d_4 \end{bmatrix}$$

and

$$K = \hat{B}\bar{K}T = \begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 2 & 1 & -1 & 0 \\ -d_0 & -d_1 & 4-d_2 & 8-d_3 & 5-d_4 \end{bmatrix} = \quad (49)$$

$$= \begin{bmatrix} 1+d_0 & 2+d_1 & d_2-3 & d_3-9 & d_4-5 \\ -d_0 & -d_1 & 4-d_2 & 8-d_3 & 5-d_4 \end{bmatrix}$$

Using (48) and (49) we obtain the cyclic matrix

$$A_c = A + BK = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ -d_0 & -d_1 & -d_2 & -d_3 & -d_4 \end{bmatrix}$$

If the pair  $(A, B)$  is uncontrollable then there exist a non-singular matrix  $T$  such that [3,4]

$$\bar{A} = TAT^{-1} = \begin{bmatrix} A_1 & A_2 \\ 0 & A_3 \end{bmatrix}, \bar{B} = TB = \begin{bmatrix} B_1 \\ 0 \end{bmatrix}, A_1 \in R^{r \times r}, B_1 \in R^{r \times m} \quad (50)$$

$$A_3 \in R^{(n-r) \times (n-r)}$$

where the pair  $(A_1, b_1)$  is controllable and it has the canonical form (41) and  $r = \text{rank}[A, AB, \dots, A^{n-1}B] < n$ .

**Theorem 8.** Let the pair  $(A, B)$  be uncontrollable and let the matrix  $A$  be not cyclic. Then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic if and only if the submatrix  $A_3$  is cyclic.

The proof is similar to the proof of Theorem 6.

## 6. Concluding remarks

It has been show that every second order nonzero minor of the polynomial matrix  $P_A$  of (8) is divisible (with zero remainder) by the polynomial  $d$  if and only if the characteristic polynomial  $\varphi(s)$  is equal to the minimal polynomial  $\Psi(s)$  of  $A$ . If the transfer matrix  $T$  has the form (3) then every second order nonzero minor of the polynomial  $P$  is divisible by  $d$  if and only if  $q = d$  ( $q$  is the McMillan polynomial of  $T$ ). If the pair  $(A, b)$  of single-input system is controllable then the closed-loop matrix  $A_c$  is cyclic if and only if  $A$  is cyclic. If the pair  $(A, B)$  of  $m$ -input system is controllable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic. If the pair  $(A, B)$  is uncontrollable and  $A$  is not cyclic then there exists a feedback gain matrix  $K$  such that  $A_c = A + BK$  is cyclic if and only if the submatrix  $A_3$  of (50) of the uncontrollable part of the system is cyclic.

The considerations with slight modifications are also valid for discrete-time linear systems. An extension of there considerations for singular linear systems will be presented in a next paper. An open problem is an extension of there considerations for standard and singular 2D linear systems [3].

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